



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gary F. Anderson et al

Docket No. YO997-451

Serial No.: 09/009,083

Group Art:

Filed: January 20, 1998

Dated: April 16, 1998

For: QUALIFIED AND TARGETED LEAD SELECTION AND DELIVERY SYSTEM

SUBMISSION OF DECLARATION

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application serial no. 09/009,083 as originally filed on January 20, 1998. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By: Stephen C. Kaufman
Stephen C. Kaufman, Reg. 29,551
Attorney for Applicants
914-945-3197

IBM Corporation
Intellectual Property Law
P.O. Box 218
Yorktown Heights, New York 10598



Sector \$
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gary F. Anderson

Serial No. 09/009,083

Group No.

Filed: January 20, 1998

Examiner:

For: QUALIFIED AND TARGETED LEAD SELECTION AND DELIVERY SYSTEM

Commissioner of Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts
Submission of Declaration
Declaration and Power of Attorney
Recordation Form Cover Sheet
Assignment to IBM
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patent and Trademarks
Washington, D.C. 20231

on April 16, 1998

Barbara Rasa

Name

Barbara Rasa

Signature

Docket No. YO997-451



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/009-083 01/20/98 ANDERSON G Y0997-451

0232/0410

STEPHEN C KAUFMAN
INTELLECTUAL PROPERTY LAW
IBM CORPORATION
P O BOX 218
YORKTOWN HEIGHTS NY 10598

NOT ASSIGNED

2761

DATE MAILED:

04/10/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Due 6/10/98

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above

Application Number and Filing Date is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

01 FC:105 130.00 CH

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules" 37 CFR 1.821-1.825.

☒ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

L. Dillon
A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1208

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM PTO-233 (REV 8-97)

Best Available Copy